Form PTO-1449

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 11657-003001

Application No. 09/536,258

formation Disclosure Statement

by Applicant (Use several sheets if necessary)

Applicant Gary L. Gastineau, et al

Filing Date

Group Art Unit

March 27, 2000

			U.S. Patent	Documents			
Examiner	Desig.	Document	Publication				Filing Date
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Foreign Patent Documents or Published Foreign Patent Applications									
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	AL								
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	AN								
	AO								
	AP								

Other Documents (include Author, Title, Date, and Place of Publication)							
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Initial	ID	Document					
DIC	AQ	"On Lines and Planes of Closest Fit to Systems of Points in Space", K. Pearson, <i>Philosophical Magazine and Journal of Science</i> , Vol. 2, Sixth Series, July-Dec. 1901, pp. 559-572.					
DFC	AR	"Market Models: A Guide to Financial Data Analysis", C. Alexander, Wileys, 2001, pp. 143-178.					
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EXAMINER: Initials citation considered. Draw line through citation next communication to applicant.	n if not in conformance and not considered. Inc	lude copy of thi	s form with
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Sheet 1

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number 09/536,258

Filing Date March 27, 2000

First Named Inventor G. Gastineau, et al.

Art Unit 3628

Examiner Name Charles, Debra

Attorney Docket Number

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Examiner Initials*	Cite No. 1	Document Number	Publication MM-DD-Y	Date YYY		ame of Patentee or ant of Cited Documen	nt	Pages, Columns, Lines, Where Relevant Passages or Relevan	
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09/536,258 Application Number March 27, 2000 Filing Date First Named Inventor G. Gastineau, et al. Art Unit 3628

Charles, Debra Examiner Name (Use as many sheets as necessary) 00322.0007.NPUS00 Sheet 2 2 Attorney Docket Number

		NON PATENT LITERATURE DOCUMENTS	1
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
DEC	4	"HCM Global Technology Fund Limited: Investment Statement", available at www.fantasy.co.nz/brokerage/hcm/tech-is.pdf (available on or after June 1, 1999)	
DEC	5	"Science and Technology: Digital Rights and Wrongs" The Economist, pp. 75-76, July 17, 1999.	
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